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Plan	Status/ Results/ Comments	Assessment Number/ Activity Type	Organization Being Assessed/ Location	Scope
02/2008	Report Issued	OQA-SI-08-035 Internal Surveillance	Office of Civilian Radioactive Waste Management Las Vegas, NV	To evaluate the planning for the transition of the operations & maintenance of the YMP from BSC to DOE Site Operations Division and to verify that the requirements from the AQAP applicable to this task were properly implemented.
03/2008	Report Issued 1 CR, otherwise Satisfactory Condition Report 11852 was issued to document that a scientific notebook review was not completed. The team determined that the Lead Lab at UNR is effectively implementing the technical procedures and the QA program for Seismology Laboratory, except as noted.	OQA-SI-08-053 Internal Surveillance	Sandia National Laboratories Reno, NV	Implementation of Lead Laboratory QA program at the seismic laboratory at University of Nevada - Reno.
04/2008	Report Issued Complete; no CRs; overall satisfactory implementation.	BQA-SE-08-029 Supplier Surveillance	American Tank and Fabricating Co. (AT&F) Cleveland, OH	Perform Source Verification to observe AT&F Receipt Inspection activities for raw material in accordance with BSC Specification 000-3PS-DSU0-00300-001 and Corrective Action verification for CAR BSC-08-C-001.
04/2008	Scheduled	SNL-SI-08-010 Internal Surveillance	Sandia National Laboratories Las Vegas, NV	Scientific Notebooks (Effectivness Review per CR 7633)
04/2008	Report Issued	OQA-SI-08-080 Internal Surveillance	Office of Civilian Radioactive Waste Management Las Vegas, NV	LA-62
04/2008	Report Issued One condition adverse to quality was identified and documented as CR 12040 by LEGIN personnel. Overall, it appears that the site activities are controlled in accordance with approved processes & procedures, which provide documentation to verify implementation. Problems were noted by Assessors, i.e., non-performed March monthly inspection, & some faded fire extinguishers stickers & markers. The site personnel identified problems and took appropriate corrective actions.	OQA-SI-08-069 Internal Surveillance	Office of Civilian Radioactive Waste Management Yucca Mountain Site, NV	Verify that required procedures/instructions for the current tasks or activities have been properly processed and approved in accordance with AQAP; that activities or tasks have been classified in accordance with the requirements of the QAR or AQAP as applicable; that fire extinguishers have received annual certification and are inspected on monthly basis; and review documented evidence of this type of inspection.
04/2008	Postponed	08-DOE-AU-001 EM/RW Audit	Savannah River National Lab-Plutonium Disposition Project Aiken, SC	Audit Plutonium Disposition Project at Savannah River Site High Level Waste Critical Decision 1 planned for late 2007 (2/6/08 deferred pending PDP Cricital Decision 1)
05/2008	Report Issued	OQA-SI-08-082 Internal Surveillance	Office of Civilian Radioactive Waste Management Las Vegas, NV	LA-64
05/2008	Scheduled	SNL-SI-08-002 Internal Surveillance	Sandia National Laboratories Las Vegas, NV	Multiscale Thermohydrologic Model - ANL-EBS-MD-000049
05/2008	Scheduled	SNL-SI-08-007 Internal Surveillance	Sandia National Laboratories Las Vegas, NV	University of Nevada at Las Vegas, Las Vegas, NV
05/2008	Scheduled	SA-08-28 Supplier Audit	Climatronics Corporation Bohemia, NY	Calibration of Meteorological equipment
06/2008	Report Issued Based on interviews, documents and products reviewed, and with the exception of the	OQA-SE-08-088 Supplier Surveillance	U. S. Geological Survey Denver, CO	Status of actions required to implement QARD, Rev. 20

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	identified deficiencies currently in process of being resolved, the USGS is effectively implementing the YMPB QA Program in accordance with the QARD and AQAP.			
06/2008	Postponed Scope rolled into IA-08-10	IA-08-06 Internal Audit	Office of Civilian Radioactive Waste Management, BSC, Sandia National Laboratories Las Vegas, NV, Las Vegas, NV, Las Vegas, NV	Implementation of OCRWM Corrective Action Program. Scope includes additional assessment of interim/corrective actions and delays associated with CR 6330 deferred to CAP audit as a result of internal audit IA-08-07 of procurement.
06/2008	Scheduled	SNL-SI-08-021 Internal Surveillance	Sandia National Laboratories Las Vegas, NV	Software Code SZ CONVULTE
06/2008	Scheduled	SNL-SI-08-022 Internal Surveillance	Sandia National Laboratories Las Vegas, NV	LL Implementation of 10 CFR 21
07/2008	Scheduled	SNL-SI-08-011 Internal Surveillance	Sandia National Laboratories Las Vegas, NV	M & TE (Effective Review per CR's 7499 & 8063)
07/2008	Report Issued No CRs issued Sections 5.1, 5.2, 5.3, 5.3, and 5.5 of LP-OM-078-OCRWM	OQA-SI-08-073 Internal Surveillance	Office of Civilian Radioactive Waste Management Yucca Mountain Site, NV	Verify that routine meetings include Safety; documented evidence exist and its maintained. A Suspect/Counterfeit program does exist and it is implemented.
07/2008	Scheduled	SA-08-21 Supplier Audit	General Electric Corporate Research and Development Center (GE) Schenectady, NY	Stress corrosion crack growth rate analysis.
07/2008	Scheduled	SA-08-18 Supplier Audit	Met One Instruments, Inc TX Rowlett, TX	Calibration of meteorological equipment.
07/2008	Scheduled	SA-08-19 Supplier Audit	Met One Instruments, Inc OR Grants Pass, OR	Calibration of meteorological equipment.
08/2008	Report Issued Complete; 7 CAQs (4 CR Level B; 3 CR Level C); 1 Significant CAQ (CR Level A); and 1 Noteworthy Practice; overall unsatisfactory implementation.	BQA-SI-08-061 Internal Surveillance	BSC Las Vegas, NV	Procurement process related to BSC Subcontract QA-HC5-00124, Modification 17, issued to Recall Total Information Management, for providing Records Storage and Retrieval Services to the Office of Civilian Radioactive Waste Management pertaining to QA and non-QA Records.
08/2008	Report Issued Complete; 7 issues recorded on 3 Level C CRs; overall unsatisfactory implementation.	BQA-SI-08-054 Internal Surveillance	BSC Las Vegas, NV	Design Change Requests (DCRs) that are generated and (1) have LS-PRO-2002 screening performed and (2) the change is assessed as affecting LA will evaluate effectiveness of (a) Activity Screening (for Potential LA Effects), (b) TMRB Decision Proposal process (CC-PRO-1001), and (c) DCR process. Design changes resulting in an LA impact review will be evaluated for effectiveness.
08/2008	Scheduled	BQA-SE-08-031 Supplier Surveillance	American Tank and Fabricating Co. (AT&F) Cleveland, OH	Evaluate heat treatment/solution annealing processes and personnel qualifications.
08/2008	Scheduled	SNL-SI-08-013 Internal Surveillance	Sandia National Laboratories Las Vegas, NV	Adequacy of corrective actions for CAQs identified during audits and surveillances
08/2008	Scheduled	BQA-SI-08-055 Internal Surveillance	BSC Las Vegas, NV	Evaluate compliance with design document interim changes process.

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08/2008	Report Issued Complete; 1 Level C CR 12537; overall ineffective implementation.	BQA-SI-08-065 Internal Surveillance	BSC Las Vegas, NV	Evaluate selected requirements specified in EV-PRO-5010 and QA-PRO-1047.
08/2008	Report Issued The team determined that OCRWM is not effectively implementing the process of closing one CR to another CR. This is documented as condition adverse to quality as CR 12592. Four recommendations for CAP process improvements regarding the closure of CRs to other CRs were documented.	OQA-SI-08-091 Internal Surveillance	Office of Civilian Radioactive Waste Management Las Vegas, NV	Assessed the adequacy, transparency, and effectiveness of the CAP for CRs closed to other CRs.
08/2008	Report Issued The audit team issued CRs WMO(S)-08-D-022, WMO(S)-08-D-023, WMO(S)-08-024, AND WMO(S)-08-D-025.	SA-08-29 Supplier Audit	AREVA Federal Services Tacoma, WA	Engineering services for TAD Canister system
08/2008	Field Work Complete	IA-08-11 Internal Audit	Office of Civilian Radioactive Waste Management Las Vegas, NV	Verification of the QA Program Implementation by OCRWM West, Site/Field Activities. Will include the review and evaluation of applicable site/field quality-affecting activies by the Construction Management and Site Operations Office.
08/2008	Report Issued Complete; 2 SCRs: BSC-08-D-027 and BSC-08-C-002; overall unsatisfactory implementation.	BQA-SE-08-030 Supplier Surveillance	American Tank and Fabricating Co. (AT&F) Cleveland, OH	Evaluate the welding processes, NDE, and personnel qualifications.
08/2008	Report Issued Complete; 1 Level C CR 12571; overall effective implementation.	BQA-SI-08-040 Internal Surveillance	BSC Las Vegas, NV	Review the requirement links documented in the DOORS database and evaluate the identified implementing mechanism for adequate translation of the requirement.
08/2008	Scheduled	BQA-SI-08-063 Internal Surveillance	BSC Las Vegas, NV	Review CDIS and InfoWorks to determine if there are any calculations that are not referenced within the LA and will not be utilized in future design efforts that need to be purged. Reason: There are currently calculations listed in InfoWorks that are obsolete as they reflect designs generated prior to the TAD design effort.
08/2008	Field Work Complete	IA-08-12 Internal Audit	Office of Civilian Radioactive Waste Management Las Vegas, NV	Evaluate design activities performed by BSC, including interfaces with OCRWM. Audit specific include configuration management control, Interface exchange document process, retrievability of records of engineering products, change management process, interface control, Drawing and Spec control, lessons learned and self assessments, effective use of CAP, assessment trends, performance objectives, and maintenance of the Q list.
08/2008	Field Work Complete	OQA-SI-08-097 Internal Surveillance	Office of Civilian Radioactive Waste Management Las Vegas, NV	LCR Process Implementation (LA-65)
08/2008	Scheduled	OQA-SI-08-096 Internal Surveillance	Office of Civilian Radioactive Waste Management Las Vegas, NV	Operating Experience Program Effectiveness

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08/2008	Scheduled	SA-08-24 Supplier Audit	Beta Analytic Miami, FL	Radiocarbon Dating Services - determination of Carbon-14 age by Accelerator Mass Spectrometry.
08/2008	Report Issued No condition reports issued	OQA-SI-08-098 Internal Surveillance	Office of Civilian Radioactive Waste Management Las Vegas, NV	RAI response process drill conducted in August and September 2008
08/2008	Scheduled	SA-08-22 Supplier Audit	Scott Specialty Gases, Inc. (SSG) Longmont, CO	Gas standards and custom gas mixes.
08/2008	Scheduled	SA-08-23 Supplier Audit	University of Texas - Austin,Department of Civil Engineering Geotechnical Engineering Austin, TX	Analytical services.
09/2008	Report Issued Complete; 3 CRs #12585, 12586 and 12587 and 2 Document Action Request. Consolidation of 2 surveillances: BQA-SI-08-056 & BQA-SI-08-059. BQA-SI-08-056 was cancelled.	BQA-SI-08-059 Internal Surveillance	BSC Las Vegas, NV	The scope of this surveillance included: (1) the review of those issued specs as noted to determine if the Screening Analysis Worksheets were completed as required; (2) to evaluate the procedure controls of EG-PRO-3DP-G04T-00905, Determination of Quality Levels, and make recommendations for process improvement as needed; and (3) determine if the quality levels and safety category for the General Specification of Mechanical Handling Equipment Design and Manufacturing were properly assigned.
09/2008	Scheduled	BQA-SI-08-057 Internal Surveillance	BSC Las Vegas, NV	Revision of the interlocking drip shield interface exchange drawing.
09/2008	Scheduled	BQA-SI-08-058 Internal Surveillance	BSC Las Vegas, NV	Determine the Adequacy and Effectiveness of Controls Associated With Technical Reports
09/2008	Scheduled	IA-08-02 Internal Audit	BSC Las Vegas, NV	Audit of BSC PCSA process. Audit will include evaluation of previous compliance, LA, and performance-based audits and surveillances of PCSA
09/2008	Scheduled	08-DOE-AU-004 EM/RW Audit	Office of River Protection - Hanford Richland, WA	Phase 1 Readiness Review/Assessment of BNI (Contractor) Program. Phase 1 to be continued for ORP(Fed) QA Program per notification; qualification audit to be conducted 4-6 months upon completion of Phase 1; Assessment/Audit Plan in process.
09/2008	Scheduled	OQA-SE-08-093 Supplier Surveillance	Bechtel National, Inc Richland, WA	QAP Implementation (Organization., Training, QAP, Implementing Documents, Document Control, Records Management)
09/2008	Scheduled	OQA-SE-08-094 Supplier Surveillance	Bechtel National, Inc Richland, WA	Technical Activities (Baseline documents)
09/2008	Scheduled	OQA-SE-08-095 Supplier Surveillance	Bechtel National, Inc Richland, WA	Software Quality Assurance
09/2008	Report Issued	OQA-SI-08-099 Internal Surveillance	Office of Civilian Radioactive Waste Management Las Vegas, NV	LA Configuration Management process and implementation.
09/2008	Scheduled	SA-08-25 Supplier Audit	Lawrence Livermore National Laboratory,Center for Acceleration Mass Spectrometry (LLNL-CAMS) Livermore, CA	Accelerator Mass Spectrometry Services.

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09/2008	Scheduled	BQA-SI-08-041 Internal Surveillance	BSC Las Vegas, NV	Review the requirement links documented in the DOORS database and evaluate the identified implementing mechanism for adequate translation of the requirement.
09/2008	Scheduled	OQA-SI-08-075 Internal Surveillance	Office of Civilian Radioactive Waste Management Yucca Mountain Site, NV	Verify that daily and weekly surveillances required by the Surveillance and Maintenance Plan for Cold Standby of the Yucca Mountain Site are being performed.
09/2008	Scheduled	IA-08-10 Internal Audit	Office of Civilian Radioactive Waste Management, BSC, Sandia National Laboratories Las Vegas, NV, Las Vegas, NV, Las Vegas, NV	Evaluate those activities performed by OCRWM, BSC, and Lead Lab that have not been addressed in earlier FY08 audits and surveillances for compliance to the QARD.
09/2008	Scheduled	BQA-SE-08-066 Supplier Surveillance	Applied Technical Services, Inc. Marietta, GA	Waste Package Material Testing Activities
09/2008	Scheduled	08-DOE-AU-006 EM/RW Audit	EM-Hanford Richland, WA	Audit Spent Nuclear Fuel at Hanford
09/2008	Scheduled	BQA-SE-08-044 Supplier Surveillance	American Tank and Fabricating Co. (AT&F) Cleveland, OH	Performance Testing of Waste Package Mock-Up
09/2008	In Progress	OQA-SI-08-100 Internal Surveillance	Office of Civilian Radioactive Waste Management Las Vegas, NV	Evaluate YMP training progrmas that include: Basic 10CFR, QARD, and other Requirements; Professional Development, Required Reading; How to assess Self-Assessments. Compare these activities using the INPO training Warning Flags (Self-Diagnosis Checklist).
09/2008	Scheduled	IA-08-05 Internal Audit	Sandia National Laboratories Las Vegas, NV	Compliance-based audit of the TSPA process Our number is LQA-IA-08-003
09/2008	Scheduled	IA-08-09 Internal Audit	Office of Quality Assurance Las Vegas, NV	Independent Audit of activities performed by the OQA and implementation of procedures associated with applicable elements of the QARD. QAMA
09/2008	Scheduled	IA-08-## Internal Audit	Sandia National Laboratories Las Vegas, NV	TRBD
10/2008	Scheduled	BQA-SE-08-045 Supplier Surveillance	American Tank and Fabricating Co. (AT&F) Cleveland, OH	Prior to Shipment of Completed Waste Package Mock-Up
10/2008	Scheduled	BQA-SI-08-051 Internal Surveillance	BSC Las Vegas, NV	Follow-up on those Condition Reports (CRs) generated during the first half of Fiscal Year (FY) 2008 surveillances to verify that corrective action by the responsible line organization was accomplished adequately. Follow-up will also include those CRs from FY 2007 surveillances that were not closed at the time of the previous surveillance.
11/2008	Report Issued	SNL-SI-08-014 Internal Surveillance	Sandia National Laboratories Las Vegas, NV	ANL-NBS-MD-000009, Rev 3, AD 01 and ANL-WIS-MD-000020, Rev 1, AD 01

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